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PATENTS  
Attorney Docket No. 593/005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant(s) : Thomas E. Borillo and Gregg S. Sutton

Application No. : 09/960,749 Confirmation No. : 5029

Filed : September 21, 2001

For : APPARATUS FOR IMPLANTING DEVICES IN  
ATRIAL APPENDAGES

Group Art Unit : 3738

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APR 06 2004  
TECHNOLOGY CENTER R3700

New York, New York 10020  
March 27, 2003

Hon. Commissioner for Patents  
Washington, D.C. 20231

FIRST SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following document:

DOCUMENT NUMBER	DATE	COUNTRY
WO 98/02100	01/22/1998	PCT

This document is listed on the accompanying Form  
PTO-1449 (submitted in duplicate) and a copy is enclosed

herewith. This document was first cited in an International Search Report in a foreign patent application, which is a counterpart of another co-assigned and co-owned U.S. Patent application (S/N 10/094,730).

It is respectfully requested that this reference be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Applicants believe that this Information Disclosure Statement is being submitted prior to the mailing date of the first Office Action on the merits, therefore, pursuant to 37 C.F.R. § 1.97(b)(3), applicants believe that no fee is required in connection with this Information Disclosure Statement. However, if for any reason a fee is due, the Director is hereby authorized to charge all fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this Information Disclosure Statement is enclosed.

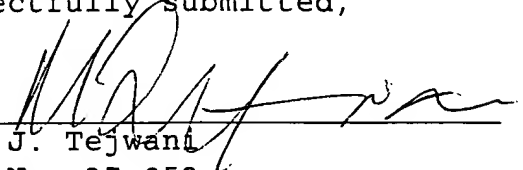
Precautionary Certification  
Pursuant to 37 C.F.R. § 1.97(e)(2)

No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any

individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

An early and favorable action is respectfully requested.

Respectfully submitted,

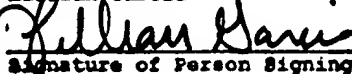
  
\_\_\_\_\_  
Manu J. Tejwani  
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Attorney for Applicants

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March 27, 2003

Lillian Garcia

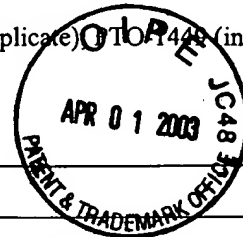
  
\_\_\_\_\_  
Signature of Person Signing

APPLICANT Thomas E. Borillo and Gregg S. Sutton

APPLICATION No. 09/960,749 FILED September 21, 2001

RECEIPT IS HEREBY ACKNOWLEDGED OF THE

Supplemental Information Disclosure Statement (in duplicate) of TOP 448 (in duplicate).



DATED March 27, 2003

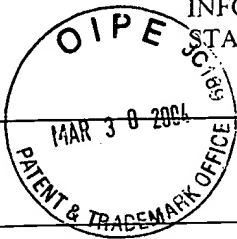
FILED IN CONNECTION WITH THE ABOVE CASE.

MJT:00593.005

COMMISSIONER FOR PATENTS

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
593/005SERIAL NO.  
09/960,749APPLICANT  
Borillo et al.CONFIRMATION NO.  
5029FILING DATE  
September 21, 2000GROUP  
3738INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 98/02100	01/22/1998	PCT	A61B	17/12		

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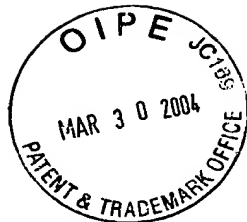
## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.



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Patents  
593/005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Thomas E. Borillo et al.  
Application No. : 09/960,749 Confirmation No. : 5029  
Filed : September 21, 2001  
For : APPARATUS FOR IMPLANTING DEVICES IN  
ATRIAL APPENDAGES  
Group Art Unit : 3731

New York, New York 10200  
March 30, 2004

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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THIRD SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97,  
applicants hereby make the following patent documents of  
record in the above-identified patent application:

Wessman et al. U.S. Patent No. 6,231,589  
(May 15, 2001)  
Swanson U.S. Patent No. 6,267,760  
(July 31, 2001)  
Roue et al. U.S. Application No. 2001/0039435  
(November 08, 2001)  
PCT Internat'l Publ'n. No. WO00/53120  
(September 14, 2000)  
PCT Internat'l Publ'n. No. WO01/26726  
(April 19, 2001)  
PCT Internat'l Publ'n. No. WO01/30266 (May 3,  
2001)

Copies of the aforementioned patent documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

It is respectfully requested that these patent documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent that may issue on this application. Applicants request that a copy of the enclosed Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

This Supplemental Information Disclosure Statement is being filed concurrently with a Request for Continued Examination. Pursuant to 37 C.F.R. § 1.97(b)(4), applicants believe no fee is required in connection with this Supplemental Information Disclosure Statement. However, if any fee is due, the Director is hereby authorized to charge such fee to Deposit Account No. 06-1075. A duplicate copy of this statement is enclosed herewith.



An early and favorably action is respectfully  
requested.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read 'Edward M. Arons', written over a horizontal line.

Edward M. Arons (Reg. No. 44,511)

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

593/005

APPLICATION NO.

09/960,749

APPLICANTS

Borillo et al.

CONFIRMATION NO.

5029

FILING DATE

09/21/2001

GROUP

3731

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTS

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,231,589 B1	05/2001	Wessman et al.	606	200	
	6,267,760 B1	07/2001	Swanson	606	49	

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## U.S. PATENT APPLICATIONS

EXAMINER INITIAL	APPLICATION NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2001/0039435 A1	11/2001	Roue et al.	606	216	07/2001

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 00/53120	09/2000	PCT International	A61F	2/01		
	WO 01/26726	04/2001	PCT International	A61M	29/00		
	WO 01/30266	05/2001	PCT International	A61F	2/01		

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.